

# Office Action Summary

Application No.

09/727,812

Applicant(s)

AWREY ET AL.

Examiner

Gary W. Counts

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 17 December 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above claim(s) 9-15 and 29-34 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8 and 16-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 6.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Election/Restrictions***

1. Applicant's election with traverse of Group I, claims 1-8 and 16-28 in Paper No. 9 is acknowledged. The traversal is on the ground(s) that examination of the claims of Group II would entail a search of Group I. This is not found persuasive because of reasons set forth in the previous office action and further because Group 1 requires the limitations of the microcolumns arranged into an array format and the columns are not blocked after immobilizing the ligand to the matrix and Group I also requires a fusion protein requiring an affinity tag and Group II does not require these limitations. Therefore, the search for the different groups requires different search terms and a different search strategy that creates a burden on the Examiner. Further, while searches would be expected to overlap, there is no reason to expect the searches to be coextensive.

The requirement is still deemed proper and is therefore made FINAL.

### ***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 1-8 and 16-28 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 is vague and indefinite because it is unclear if the two or more columns having the protein ligand in varying concentrations immobilized in a matrix are in a

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sequence series one beside each other or if the columns are aligned in a series such that the varying concentrations are creating a gradient.

Claim 7 is vague and indefinite because of the use of an acronym: MALDI-TOF. Although the term may have art-recognized meanings, it is unclear of applicant intends to claim the prior art definitions. The term should be defined in their first instance.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-6, 8, 16, 18-21, 23, 24, 27 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Patterson et al (Application of combined mass spectrometry and partial amino acid sequence to the identification of gel-separated proteins, Electrophoresis 1996, 17, p 877-891) in view of Formosa et al (Using Protein Affinity Chromatography to Probe Structure of Protein Machines", Methods in Enzymology 208: 24-45, (1991)).

Patterson et al disclose exposing a cell lysate to an affinity column having a protein ligand immobilized to a matrix. Patterson et al disclose that this ligand can be a fusion protein (p 878). Patterson et al disclose eluting the column and subjecting the eluted proteins to gel electrophoresis. Patterson et al disclose digesting the proteins

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and analyzing the protein by mass spectrometry to identify the protein (abstract and see also figure on page 880).

Patterson et al differ from the instant invention in failing to teach two or more columns having a protein ligand in varying concentrations immobilized to a matrix and also fails to teach the ligand is covalently bound to the matrix.

Formosa et al disclose the use of multiple microaffinity columns having a ligand immobilized to a matrix in varying concentrations (pages 36-37). Formosa et al disclose preparing the columns and coupling the protein ligand to the matrix. Formosa et al also disclose covalently immobilizing the protein ligand to the matrix. Formosa et al disclose the importance of purifying the protein ligand (p. 26) Formosa et al disclose that the use of such affinity columns allows for the dissociation constants of the protein-protein interactions to be estimated and also provides the advantage to screen for the effects of a variety of conditions on the binding of proteins from extracts (page 35).

It would have been obvious to one of ordinary skill in the art to incorporate multiple affinity columns having a protein ligand in varying concentrations immobilized to a matrix such as taught by Formosa et al into the method of Patterson et al because Formosa et al shows that the use of such affinity columns allows for the dissociation constants of the protein-protein interactions to be estimated and also provides the advantage to screen for the effects of a variety of conditions on the binding of proteins from extracts. Further, it also would have been obvious to one of ordinary skill in the art to covalently immobilize the protein ligand to the matrix as taught by Formosa et al

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because Formosa et al teaches that such immobilization provides for sensitive detection of protein-protein interaction and to achieve optimal sensitivity (page 25).

Patterson et al in view of Formosa et al teaches the claimed invention except for teaching the protein-affinity chromatography is an automated process. It would have been obvious to one having ordinary skill in the art at the time the invention was made to automate the protein-affinity chromatography, since it has been held that broadly providing a mechanical or automatic means to replace manual activity which has accomplished the same result involves only routine skill in the art. *In re Venner*, 120 USPQ 192.

6. Claims 7, 17, 22, 25 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Patterson et al in view of Formosa et al as applied to claims 1-6, 8, 16, 18-21, 23, 24, 27 and 28 above, and further in view of Vestal et al (US 6,281,493).

See above for teachings of Patterson et al and Formosa et al.

Patterson et al and Formosa et al differ from the instant invention in failing to teach the mass spectrometry is MALDI-TOF mass spectrometry.

Vestal et al disclose the use of MALDI-TOF for measuring the mass-to-charge ratio of a sample molecule. Vestal et al disclose that TOF mass spectrometers are advantageous because they are relatively simple, inexpensive instruments with virtually unlimited mass-to-charge range. Vestal et al also disclose that TOF mass spectrometers have potentially higher sensitivity than scanning instruments because they can record all the ions generated from each ionization event (col 1, lines 23-46).

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It would have been obvious to one of ordinary skill in the art to incorporate the use of MALDI-TOF mass spectrometry as taught by Vestal et al into the modified method of Patterson et al because Vestal et al teaches that TOF mass spectrometers are advantageous because they are relatively simple, inexpensive instruments with virtually unlimited mass-to-charge range. Vestal et al also disclose that TOF mass spectrometers have potentially higher sensitivity than scanning instruments because they can record all the ions generated from each ionization event.

With respect to the multiple micro-columns arranged into an array format as recited in the instant claims. Patterson et al in view of Formosa et al disclose the claimed invention except for the micro-columns arranged in an array format. It would have been obvious to one having ordinary skill in the art at the time the invention was made to arrange the micro-columns into an array format, since it has been held that rearranging parts of an invention involves only routine skill in the art. *In re Japikse*, 86 USPQ 70.

With respect to the concentration of the protein ligand bound to the matrix and to the purity of the protein ligand as recited in the instant claims, the optimum concentration of the protein ligand and the purity of the protein ligand bound to the columns can be determined by routine experimentation and thus would have been obvious to one of ordinary skill in the art. Further, it has long been settled to be no more than routine experimentation for one of ordinary skill in the art to discover an optimum value of a result effective variable. "[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum of workable ranges

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by routine experimentation." Application of Aller, 220 F.2d 454,456, 105 USPQ 233, 235-236 (C.C.P.A. 1955). "No invention is involved in discovering optimum ranges of a process by routine experimentation ." Id. At 458,105 USPQ at 236-237. The "discovery of an optimum value of a result effective variable in a known process is ordinarily within the skill of the art." Application of Boesch, 617 F.2d 272,276, 205 USPQ 215, 218-219 (C.C.P.A. 1980).


### **Conclusion**

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gary W. Counts whose telephone number is (703) 305-1444. The examiner can normally be reached on M-F 8:00 - 4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le can be reached on (703) 305-3399. The fax phone numbers for the organization where this application or proceeding is assigned are (703)308-4242 for regular communications and (703)3084242 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.



Gary W. Counts  
Examiner  
Art Unit 1641  
January 31, 2003



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